PTO/SB/17 (12-04v2)
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| | | | | | Complete if Known | | | | |
|------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|-------------------|------------------------------------------|-----------------|-----------------------------------|------------------|---------------------------------|----------------|----------------|
| | | | | | Application Number 10 | | 0/821,710 | | |
| I | FEE TRANSMITTAL For FY 2005 | | | | | | pril 8, 2004 | | |
| I | | | | | First Named Inventor Michael W. G | | | RAHAM | |
| ŀ | F01 F1 2005 | | | | Examiner Name D. Sullivan | | | | |
| ļ | Applicant claims small ent | | Art Unit 1636 | | | | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 180.00 | | | | | Attorney Docket No. 546322000304 | | | | |
| METHOD OF PAYMENT (check all that apply) | | | | | | | | | |
| ١ | Check Credit Card Money Order Other (please identify): | | | | | | | | |
| x Deposit Account Deposit Account Number: 03-1952 Deposit Account Name: Morrison & Foerster LLP | | | | | | | | | |
| ı | For the above-identified | d deposit | account, the Direct | tor is h | ereby authorize | d to: (check | all that apply) | | |
| ı | x Charge fee(s) inc | licated be | elow | | Charge | fee(s) indic | ated below, ex | cept for th | e filing fee |
| Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 | | | | | | | | | |
| ľ | FEE CALCULATION | | | | | | | | |
| Ì | 1. BASIC FILING, SEARCH, A | ND EXA | MINATION FEES | | | , | | | |
| | Application Type | FILIN Fee (\$) | IG FEES Small Entity Fee (\$) Fee (\$) | SEAF 99 (\$) | RCH FEES Small Entity Fee (\$) | EXAMINA Fee (\$) | TION FEES Small Entity Fee (\$) | Fees P | 'aid (\$) |
| l | Utility | 300 | 150 | 500 | 250 | 200 | 100 | | |
| I | Design | 200 | 100 | 100 | 50 | 130 | 65 | | |
| ١ | Plant | 200 | 100 | 300 | 150 | 160 | 80 | | |
| ١ | Reissue | 300 | 150 | 500 | 250 | 600 | 300 | | |
| 1 | Provisional | 200 | 100 | 0 | . 0 | 0 | 0 | | |
| I | 2. EXCESS CLAIM FEES Small Entity | | | | | | | | |
| I | Fee Description Each claim over 20 (including | Reissues | 3) | | | | | Fee (\$) 50 | Fee (\$) 25 |
| ı | Each independent claim over 3 | | | | | | | 200 | 100 |
| ı | Multiple dependent claims | | | | | | | 360 | 180 |
| Total Claims Extra Claims Fee (\$) Fee I | | | | Fee Pa | aid (\$) Multiple Dependent Clai | | | nt Claims | |
| ı | 28 - 28 = 0 | x _ | 50 = | 0.0 | .00 <u>Fee (\$)</u> | | | Fee Paid (\$) | |
| ı | | | | | .000 | 36 | <u> </u> | 0.00 | _ |
| | Indep. Claims Extra Cla | lms x | | Fee Pa | | | | | |
| | 2 -3 = 0 3. APPLICATION SIZE FEE | ^ - | 200 = | 0.0 | | | | | |
| I | If the specification and drawi | ngs exce | ed 100 sheets of p | aper (e | excluding electro | onically file | d sequence or | computer | |
| ı | listings under 37 CFR 1.52 | 2(e)), the | application size for | ee due | is \$250 (\$125 f | | | | 0 |
| ı | sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). | | | | | | | | |
| | Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) | | | | | | | | |
| ı | - 100 = /50 (round up to a whole number) x = 0.00 | | | | | | | | |
| | 4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) 0.00 | | | | | | | | |
| | · | | ` , | | , | sclosure S | tatement | | 0.00 |
| Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00 SUBMITTED BY | | | | | | | | | |
| ŀ | Signature | 1 | | F | Registration No. | 48,751 | Telephone | (415) 26 | 8-6373 |
| ŀ | 0.76 | d | . <u>-</u> | 10 | Attomey/Agent) | 10,707 | ļ | April 29 | |
| l | Name (Print/Type) Otis Littlefie | u | | | | | Date | April 29 | , 2005 |

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV561093693US, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: April 29, 2005

Signature: Value (ofen

Patent Docket No. 546322000304

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Michael W. GRAHAM et al.

Serial No.: 10/821,710

Filing Date: April 8, 2004

For: CONTROL OF GENE EXPRESSION

Examiner: D. Sullivan

Group Art Unit: 1636

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO/SB/08a/b. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Supplemental Information Disclosure Statement is submitted:

| With the application; accordingly, no fee or separate requirements are required. |
|-------------------------------------------------------------------------------------------|
| Before the mailing of a first Office Action after the filing of a Request for Continued |
| Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97 |
| (e)(1) has been provided. |
| |

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sf-1919372

| | Within three months of the application filing date or before mailing of a first Office Action | | | | | | |
|-------------|-----------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|--|--|--|--|--|
| | on the merits; accordingly, no fee or separate requirements are required. However, if | | | | | | |
| | applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided. | | | | | | |
| \boxtimes | After receipt of a first Office Action on the merits but before mailing of a final Office | | | | | | |
| | Action or Notice of Allowance. | | | | | | |
| | | A fee is required. A check in the amount of is enclosed. | | | | | |
| | \boxtimes | A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached | | | | | |
| | to this submission in duplicate. | | | | | | |
| | | A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is | | | | | |
| | | believed to be due. | | | | | |
| | After | mailing of a final Office Action or Notice of Allowance, but before payment of the | | | | | |
| | issue fee. | | | | | | |
| | | A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the | | | | | |
| | | amount of is enclosed. | | | | | |
| | | A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal | | | | | |
| | | form (PTO/SB/17 is attached to this submission in duplicate.) | | | | | |
| | | | | | | | |

Applicants would appreciate the Examiner initialing and returning the Form PTO/SB/08a/b, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petition and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing docket no. <u>546322000304</u>.

Dated: April 29, 2005

Respectfully submitted,

Otis Littlefield

Registration No.: 48,751

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